

Component-wise Allocations and Physical Targets for the 12th Plan under RUSA

Sr. No.	Component	Unit cost (Rs Crores)	No of Universities/ Colleges/ States/Units	Eligibility Norms & Priorities
1	Creation of Universities by way of up gradation of existing autonomous colleges	55	45	<ul style="list-style-type: none"> (i) 45 autonomous colleges (autonomy granted by University Grants Commission in 2008 or earlier) that have necessary infrastructural facilities, antiquity, teaching strength and quality will be considered for up-gradation to Universities (Affiliating). (ii) Existence of college for at least 15 years. (iii) Minimum Enrollment 2000. (iv) Land - 15 acres in mega cities, 20 acres in metro cities & 25 acres in rest. (v) College should have necessary infrastructural facilities. (vi) College must have received not less than an 'A' grade of NAAC. (vii) Should also preferably enjoy the status of 'College with Potential for Excellence' (CPE). (viii) Student teacher ratio 20:1 Teaching & Non-teaching ratio 1:1.1 (ix) College must have administrative staff. (x) Grant will be sanctioned for Administrative, Academic Building, Classrooms, Library, Computer Centre, Auditorium, Canteen & Hostel.
2	Creation of Universities by conversion of colleges in a cluster	55	35	<ul style="list-style-type: none"> (i) Pooling of 3 to 5 existing colleges that have adequate facilities (ii) At least two of the participating colleges should have been in existence for 15 years. (iii) Minimum combined Enrollment 2000 (iv) Ideally all colleges should be in radius of 15 to 20 kms (v) The combined land should be at least 15 acres in megacities, 20 acres in metro cities & 25 acres in rest of the country. (vi) All participating colleges should have NAAC Accreditation (vii) Student teacher ratio 20:1(combined based on sanctioned strength) (viii) Grant will be sanctioned for Administrative, Academic Building, Classrooms, Library, Computer Centre, Auditorium, Canteen & Hostel.

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3	Infrastructure grants to Universities	20	150	<ul style="list-style-type: none"> (i) Priority to universities which have not be included under section 12(B) & included under 12(B) but have not received funding. (ii) NAAC Accredited & universities committed for reforms will be prioritized. (iii) Grant will be sanctioned for new Hostel, Toilets, Laboratory and Renovation of Academic, Administrative Building, Hostel, Library, Classrooms, Auditorium, Canteen, Computer center etc as well as for new Equipment's & Books/Journals.
4	New Model Colleges (General)	12	60	<ul style="list-style-type: none"> (i) Colleges established after 2009 in educationally backward districts (374) will be graded into category A & B under the existing model college scheme. <ul style="list-style-type: none"> A Category – Districts of 0 to 1 Colleges B Category – Districts of 2 to 4 Colleges Category A will be given priority (ii) In category B, colleges with low CPI (College Population Index, i.e., Number of Colleges per 1,00,000 students of 18 -23 will be prioritized. (iii) Grants will be sanctioned for Administrative Building, Labs, Classrooms, Library, Toilets and Hostel etc.
5	Up gradation of existing degree colleges to model colleges	4	54	<ul style="list-style-type: none"> (i) Districts that are listed as EBD (Chamapawat, Bageshwar) under the existing model colleges scheme will be covered under this component. (ii) Colleges with low CPI (College Population Index) & low GER will be prioritized. (iii) New Construction & Renovation of building, hostel, toilets, laboratory, library, computer center, new equipment, books, journals etc. is permitted (iv) Maximum 5 proposals from a state are permitted under Revised Guidelines..
6	New Colleges (Professional & Technical)	26	40	<ul style="list-style-type: none"> (i) Grants will be provided for establishing new professional colleges in each of the 22 states having below the national average GER in Technical Education. (ii) Colleges with low GER in Technical Education will be prioritized. (iii) Districts with 0 Professional College will be prioritized. (iv) Maximum 5 proposals from a state are permitted under Revised Guidelines.. (v) Grants will be provided for Administrative Building, Library, Academic Block, Labs, Toilet, Hostel etc.

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7	Infrastructure grants to colleges	2	3500	<ul style="list-style-type: none"> (i) Priority to colleges which have not been included under section 12(B) & included under 12(B) but have not received funding. (ii) Priority will be given to colleges on the basis of NAAC Grade. (iii) Colleges will be further divided for priority into category A, B&C on the basis of number of students, Year of establishment & Percentage of SC/ST/OBC & Women Students. (iv) Grant will be provided for construction & Renovation of Hostel, Toilets, Labs, Classrooms, Canteen & renovation of Academic Building, Campus Development, Computer Centre.
8	Research, innovation and quality improvement	120	10	<ul style="list-style-type: none"> (i) 10 States will received funds for all scheme related to research, innovation and quality improvement. (ii) States will have to prepare a Research an Innovation Plan (RIP). PAB will be at liberty to approve the entire plan or restrict it to selected intervention. States can leverage this plan through CSR, PPP, industry participation etc. (iii) Maximum Rs. 50 lakh to each college & Rs. 10 lakh to P.G. Department of University will be sanctioned under this component under Revised Guidelines . (iv) Grants will be provided for 22 heads under this component such as research hubs, meta-university, e-resources, upgradation of Library & labs, Scholarship & fellowship, exposure visit, exchange programme, industry-academia partnership research center, research programme, Staff excellence etc . (v) Expenditure on hard and soft components would be restricted to 60 % & 40 % respectively and Building construction cost should not exceed 10% of sanctioned amount. (vi) Unit of implementation can be either the State or selected institutions.

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9	Equity initiatives	5	20	<ul style="list-style-type: none"> (i) All State Universities of 20 States will receive funds under this component. (ii) Innovative approach to ensure greater inclusion of SC,ST/ OBCs will be considered on priority. (iii) Maximum Rs. 3 lakhs to each college & Rs. 3 lakhs to each P.G. Department of university will be sanctioned under this component under Revised Guidelines. (iv) Grant will be provided for equal opportunity cells, remedial classes, financial aid, gender sensitization campaigns under this component. (v) Unit of implementation can be either the State or selected institutions.
10	Faculty Recruitment Support	0.58	5000	<ul style="list-style-type: none"> (i) All the State Universities will be eligible to receive grants under this programme. The recruitment process of Assistant Professor will have to adhere to UGC norms. (ii) Priority will be given to those states where more recruitment has taken place in the universities during the last three years & have better student teacher ratio as well as to new institutions. (iii) Maximum Rs. 5.8 lakh for each faculty per year is permitted under this component. Commitments of State are necessary to take over the liability at the end of the scheme. (iv) States will have to commit to sanction the position to bring down the student teacher ratio to 20:1. (v) Grant to college/P.G. Department of University with a maximum limit of 3 subjects/teachers for each College/P.G. Department is permitted under this component under Revised Guidelines.

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11	Faculty improvements	10	20	<ul style="list-style-type: none"> (i) Academic Staff Colleges/University/Training Institute will be eligible to receive funds to improve infrastructure & capacity-building activities. (i) States will be given funds to develop faculty, improve academic & pedagogical skills of teachers, and develop innovative strategies to enhance quality of teaching & research.
12	Vocationalisation of Higher Education	15	20	<ul style="list-style-type: none"> (i) Funding Priorities will be decided on the basis of implementation of National Vocational Education Qualification Framework (NVEQF). NVEQF has been framed by AICTE for polytechnics & Engineering colleges. NVEQF is introduced to formally integrate vocational education together with its current conventional education. (ii) Maximum limit is Rs. 5 lakhs for each College/P.G. Department of University under Revised Guidelines. (iii) Grants will be provided for developing educational standards and curriculum, teacher training programme, career planning and infrastructure support etc.

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13	Leadership Development of Educational Administrators	5	20	<p>(i) This is a centrally administered programme for professional development of educational administrators/ academic leaders/ policymakers. This scheme will support leadership development programmes for department heads, deans, registrars, principals, vice-chancellors, SHEC members, state project directors, commissioners, secretaries, etc. Besides, capacity building initiatives will be provided to State-level policy makers and members of governing bodies at the institutional level.</p> <p>(ii) <u>Funding Priorities</u></p> <p>(a) All states/State Universities and Colleges (government and government-aided) will be eligible.</p> <p>(b) Appropriate positions should be provided after training to the trained persons.</p> <p>(c) Preference for training shall be given to women faculty and who are below the age of 50.</p> <p>(d) Institutions which have a good NAAC accreditation status (Grade A) will be prioritized.</p> <p>(e) Maximum limit is Rs. 5 lakhs for each College/P.G. Department of University under Revised Guidelines.</p>

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14	Institutional restructuring & reforms	20	20	<p>Funds will be provided to States and Union Territories (depending on the size of the states) to enable them to create/strengthen necessary institutional framework for efficient and effective sectorial reforms. These funds can be utilized for setting up/strengthening State Higher Educational Councils, State Resource Centers and State Project Directorate.</p> <p><u>Funding Priorities</u></p> <ul style="list-style-type: none"> <input type="checkbox"/> Creation of SHEC <input type="checkbox"/> Creation of State Accreditation agencies. <input type="checkbox"/> States which have already undertaken sectoral, academic and governance reforms or have committed to undertake them as a part of their willingness to participate in RUSA. <input type="checkbox"/> Each State will be given Rs. 10 crore each in two installments. 20 States will be eligible to be covered during the 12th Plan period. <input type="checkbox"/> The states can utilize these resources in organizing meeting consultation, workshops, training, hiring consultants and other preparatory work to prepare the state for the reforms and for setting up/strengthening State Higher Educational Councils, State Resource Centre and State Project Directorate.

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15	Capacity building & preparation, Data collection & planning	10	20	<p>Funds will be given to States and Union Territories to</p> <ul style="list-style-type: none"> <input type="checkbox"/> Undertake baseline surveys <input type="checkbox"/> Data collection and compilation. <input type="checkbox"/> Organize meetings, consultations, workshops, trainings <input type="checkbox"/> Hire consultants <input type="checkbox"/> Preparation of State perspective plans/strategy reports <p><u>Utilization of Funds</u></p> <p>Funds will be given upfront to all the States which agree to participate in RUSA to undertake baseline surveys. Baseline survey shall be able to identify critical gaps in higher education and which would help in undertaking capacity building exercises to prepare SHEPs Financial Norms. Each State will be given a total amount of Rs. 5 crore each in two installments</p>
16	Management Information System	10	20	<p>Funds will be provided to create and maintain strong data systems at the State level for surveys and analysis that could provide information to the national MIS. The RUSA MIS and AISHE will be integrated.</p> <p><u>Financial Norms</u></p> <ul style="list-style-type: none"> • Each State would be given an amount of up to Rs. 2 crore for covering recurring expenditure/ maintenance, etc. • Design and Development of MIS including State and institutional level modules will be done centrally. • Equipment's would be procured centrally • The MIS shall be centrally designed. • Universities and Colleges shall be provided infrastructural support.

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17	Support to Polytechnic	(a) 12.30 (b) 2.00 (c) 1.00 (d) 0.17 + 0.20 (0.13)	New Polytechnic 500 500 - -	<p>(i) The existing scheme regarding Sub-mission on Polytechnics will be merged with RUSA</p> <p>(ii) In consultation with the Technical Education department the project report under the component may be prepared. Funding will be provided for</p> <ul style="list-style-type: none"> • Setting up of new polytechnics – Rs. 12.30 crore per institution for establishing a polytechnic in district which do not have any government polytechnic subject to free land and meeting 100% recurring expenditure. • Strengthening of existing polytechnics – Financial assistance to maximum Rs. 2 Crore per polytechnic would be provided for new diploma courses and infrastructural facilities to 500 existing polytechnic. • Construction of women’s hostels in polytechnic- One time assistance of Rs. 1 crore for each polytechnic to 500 existing government/aided polytechnics. • Scheme of Community Development Through Polytechnic (CDTP) to provide non-formal, short term, employment oriented skill development programmes through AICTE approved polytechnics- Annual recurring grants-in-aid upto a maximum of Rs. 17 lakh and a one-time non-recurring grants-in-aid of Rs. 20 lakhs (Rs. 13 lakhs for old Community Polytechnic) would be provided to selected institutions..

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18	Management, Monitoring, Evaluation & Research	1% of Total Outlay		<p>This scheme is envisaged to provide support to resource centers and support groups for the effective implementation of various programmes under RUSA.</p> <p><u>Key Feature</u> All States which qualify for funding under RUSA by meeting the pre - requisites would be eligible for MMER funds.</p> <p><u>Financial Norms</u></p> <ul style="list-style-type: none"> <input type="checkbox"/> 1% of the total outlay of RUSA will be given to the States as MMER funds for meeting the expenditure on creation and maintenance of Technical Support Group (TSG) at the State level. <input type="checkbox"/> All administrative expenses including that on preparation of annual accounts and audits will be charged to MMER funds. <input type="checkbox"/> An initial amount of Rs.2 to 4 crores will be given to those States that are eligible to participate in RUSA. <input type="checkbox"/> The balance amount will be decided on the basis of the final outlay decided for each State and will be released annually.

